

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki, et al. Art Unit : 2813  
 Serial No. : 09/451,665 Examiner : Laura Schillinger  
 Filed : November 30, 1999  
 Title : SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

REQUEST FOR INITIALLED FORM PTO-1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the PTO Forms 1449 and 892 that accompanied information disclosure statements filed on November 30, 1999, September 11, 2000, and November 12, 2003.

Applicants' records show that these information disclosure statements complied with 37 CFR 1.97. For the Examiner's convenience, all of the references identified on the PTO-892 forms and the PTO-1449 forms have been combined in one Form PTO-1449 attached hereto. Thus, it is respectfully requested that the examiner initial and return the forms as originally submitted or, in the alternative, initial and return the revised PTO-1449 as soon as possible.

The examiner's prompt attention to this matter would be greatly appreciated. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/15/04

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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-017002	Application No. 09/451,665
<b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary)		Applicant Shunpei Yamazaki, et al.		
(37 CFR §1.98(b))		Filing Date November 30, 1999	Group Art Unit 2813	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,891,468	06/24/1975	Ito et al.			
	AB	4,472,871	09/25/1984	Green et al.			
	AC	5,396,084	03/07/1995	Matsumoto			
	AD	5,594,371	01/14/1997	Douseki			
	AE	5,914,498	06/22/1999	Suzawa et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						

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**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	03-189626	08/19/1991	JAPAN				
	AL	04-290467	10/15/1992	JAPAN				
	AM	06-029834	02/04/1994	JAPAN			ABS	
	AN	08-228145	09/03/1996	JAPAN			ABS	
	AO	64-7559	01/11/1989	JAPAN			ABS	
	AP	EP 0 690 510	01/03/1996	EUROPE			Eng.	
	AQ							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AR	
	AS	
	AT	
	AU	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	